



Serial No. 09/940554

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED**

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Technology Center 2600

Applicant: IBSEN et al.

Examiner: Unknown

Serial No.: 09/940554

Group Art Unit: 2661

Filed: August 27, 2001

Docket No.: 01485.0005-US-01

Title: WAVELENGTH DIVISION MULTIPLEXED DEVICE

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on February 5, 2003.

Jain A. McIntyre

Name

Signature

**Information Disclosure Statement Under 37 C.F.R. §1.97(b)**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference

has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC



Date: February 5, 2003

By:

A handwritten signature in black ink, appearing to read "Iain A. McIntyre", written over a horizontal line.

Iain A. McIntyre  
Reg. No. 40,337  
IAM/vlb

**INFORMATION DISCLOSURE STATEMENT**  
PTO Form 1449Docket Number  
01485.0005-US-01Serial Number  
09/940554Applicant(s)  
IBSEN et al.Filing Date  
08/27/2001Group Art Unit  
2661**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPROPRIATE)
		US 2002/0050557 A1	05/02/02	Kuroda et al.			
		US 2002/0054289 A1	05/09/02	Thibault et al.			
		4,102,576	07/25/78	Maeda et al.			
		5,355,237	10/11/94	Lang et al.			
		5,559,597	09/24/96	Batley et al.			
		5,917,625	06/29/99	Ogusu et al.			
		5,966,483	10/12/99	Chowdhury			
		5,998,796	12/07/99	Liu et al.			
		6,108,471	08/22/00	Zhang et al.			
		6,137,933	10/24/00	Hunter et al.			
		6,144,487	11/07/00	Michishita			
		6,144,783	11/07/00	Epworth et al.			
		6,269,203	07/31/01	Davies et al.			
		6,275,630	08/14/01	Yang et al.			
		6,449,066	09/10/02	Arns et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO
		WO 01/09656 A1	02/08/01	WIPO				
		WO 01/42825 A1	06/14/01	WIPO				
		WO 02/057814 A2	07/25/02	WIPO				
		WO 02/067475 A2	08/29/02	WIPO				
		GB 2374142 A	10/09/02	Great Britain				
		EP 1001287 A2	05/17/00	EPO				
		EP 1052868 A2	11/15/00	EPO				

**OTHER DOCUMENTS**

		09/789,888 -"GRATING STRUCTURES AND METHODS OF MAKING THE GRATING STRUCTURE"
		International Search Report for PCT/EP02/09295
		CHURIN et al., "Passband flattening and broadening techniques for high spectral efficiency wavelength demultiplexers", <u>ELECTRONICS LETTERS</u> , IEE STEVENAGE, GB, vol. 35, no. 1, Jan. 7, 1999, pp 27-28, XP006011645, ISSN: 0013-5194, figs. 1, 2

Examiner:

Date Considered: